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# CATEGORICAL CRITERION FOR EXISTENCE OF UNIVERSAL C\*-ALGEBRAS

#### R.N. GUMEROV, E.V. LIPACHEVA, K.A. SHISHKIN

**Abstract.** We deal with categories, which determine universal  $C^*$ -algebras. These categories are called the compact  $C^*$ -relations. They were introduced by T.A. Loring. Given a set X, a compact  $C^*$ -relation on X is a category, the objects of which are functions from X to  $C^*$ -algebras, and morphisms are \*-homomorphisms of  $C^*$ -algebras making the appropriate triangle diagrams commute. Moreover, these functions and \*-homomorphisms satisfy certain axioms. In this article, we prove that every compact  $C^*$ -relation is both complete and cocomplete. As an application of the completeness of compact  $C^*$ -relations, we obtain the criterion for the existence of universal  $C^*$ -algebras.

**Keywords:** compact  $C^*$ -relation, complete category, universal  $C^*$ -algebra.

Mathematics Subject Classification: 16B50, 46L05, 46M15

#### 1. Introduction

The motivation for our work comes from the theory of universal  $C^*$ -algebras generated by sets of generators subject to relations (see [1]–[6]) and the study of limits for inductive systems consisting of universal  $C^*$ -algebras and their \*-homomorphisms in [7]–[12]. A categorical approach to relations that determine universal  $C^*$ -algebras was developed by Loring [5]. In the framework of this approach, one deals with categories called  $C^*$ -relations. Given a set X, a  $C^*$ -relation  $\mathcal{R}$  on X is a category, the objects of which are functions from X to  $C^*$ -algebras, and morphisms are \*-homomorphisms of  $C^*$ -algebras making the appropriate triangle diagrams commute. In addition, the objects and the morphisms of  $\mathcal{R}$  satisfy certain axioms. The  $C^*$ -relations determining universal  $C^*$ -algebras are called compact. A necessary and sufficient condition for  $\mathcal{R}$  to be compact is the existence of an initial object  $C^*(\mathcal{R})$  in the category  $\mathcal{R}$  [5]. The universal  $C^*$ -algebra for the compact  $C^*$ -relation  $\mathcal{R}$  is the initial object  $C^*(\mathcal{R})$  of this category, that is, an object with precisely one outgoing morphism for each other object of  $\mathcal{R}$ .

The  $C^*$ -relations called the \*-polynomial relations associated with \*-polynomial pairs were studied in [13]. A polynomial pair (X, P) consists of a non-empty set X and a non-empty subset P of the free \*-algebra F(X) generated by X over the field of complex numbers. The objects of the \*-polynomial relation associated with (X, P) are all functions f from the set X to  $C^*$ -algebras satisfying the property: the set P is contained in the kernel of the unique \*-homomorphism, which is an extension of f to the free \*-algebra F(X). It was proved in [13] that every  $C^*$ -algebra is a universal  $C^*$ -algebra determined by a \*-polynomial relation and every compact  $C^*$ -relation is isomorphic to a \*-polynomial relation.

In this article we continue the study of properties of the compact  $C^*$ -relations initiated in [13]. We show that each compact  $C^*$ -relation is both complete and cocomplete. To obtain

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this result, we use of the completeness and cocompleteness of the category of  $C^*$ -algebras and their \*-homomorphisms [16]. The completeness of every compact  $C^*$ -relation together with the aforementioned equivalence between the compactness of a  $C^*$ -relation  $\mathcal{R}$  and the existence of an initial object in  $\mathcal{R}$  yields the criterion for the existence of the universal  $C^*$ -algebra  $C^*(\mathcal{R})$ . Namely,  $C^*(\mathcal{R})$  exists if and only if the category  $\mathcal{R}$  is complete.

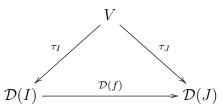
The article is organized as follows. It consists of the Introduction and three sections. Section 2 contains needed notation, definitions and facts from the category theory and the theory of  $C^*$ -relations. In Section 3 we prove that every compact  $C^*$ -relation is complete. As a consequence of this result, we obtain the criterion for the existence of universal  $C^*$ -algebras. Section 4 is devoted to the proof of the cocompleteness of all compact  $C^*$ -relations.

#### 2. Preliminaries

In this section, we recall some necessary definitions and facts from the theory of categories and functors. For detail we refer the reader to book [17].

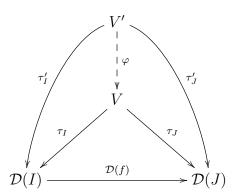
Let  $\mathcal{C}$  be a category and  $\mathcal{I}$  be a small category. A functor  $\mathcal{D}: \mathcal{I} \to \mathcal{C}$  is called a diagram in  $\mathcal{C}$  of shape  $\mathcal{I}$ .

A cone on the diagram  $\mathcal{D}$  is a pair  $(\mathcal{V}, \tau)$ , where  $\mathcal{V} \colon \mathcal{I} \to \mathcal{C}$  is a constant functor and  $\tau \colon \mathcal{V} \to \mathcal{D}$  is a natural transformation from  $\mathcal{V}$  to  $\mathcal{D}$ . Thus, the functor  $\mathcal{V}$  sends each object I of  $\mathcal{I}$  to a fixed object V in  $\mathcal{C}$  and  $\mathcal{V}(f)$  is the identity  $\mathbb{1}_V$  on V for each morphism f of  $\mathcal{I}$ . Moreover, one has a family of morphisms  $\tau_I \colon V \to \mathcal{D}(I)$  indexed by objects I of the category  $\mathcal{I}$  such that the diagram



commutes for every morphism  $f: I \to J$  in  $\mathcal{I}$ .

A cone  $(\mathcal{V}, \tau)$  on the diagram  $\mathcal{D}$  is said to be *universal* if for every cone  $(\mathcal{V}', \tau')$  on  $\mathcal{D}$  there exists a unique morphism  $\varphi \colon V' \to V$  in  $\mathcal{C}$  such that  $\tau' = \tau \circ \varphi$ , that is, the diagram

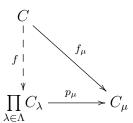


commutes for every morphism  $f: I \to J$  in  $\mathcal{I}$ . A universal cone on  $\mathcal{D}$  is called a *limit of the diagram*  $\mathcal{D}$ . A category is said to be *complete* if it has a limit for every diagram in this category.

In what follows, two basic types of limits of diagrams are involved in our arguing. These are products and equalizers; let us recall the definitions.

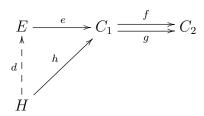
Let  $\Lambda$  be a set. We denote by  $\mathcal{L}$  the discrete category, the objects of which are the elements of  $\Lambda$  and all morphisms are the identities. Let  $\{C_{\lambda}\}_{{\lambda}\in\Lambda}$  be a family of objects in the category  $\mathcal{C}$ . Consider the diagram  $\mathcal{D}\colon \mathcal{L}\to \mathcal{C}$ , which sends an object  $\lambda$  of  $\mathcal{L}$  to the object  $C_{\lambda}$  in  $\mathcal{C}$ . A limit of

the diagram  $\mathcal{D}$  is called the product of the family  $\{C_{\lambda}\}_{{\lambda}\in\Lambda}$ . It is denoted by  $\left(\prod_{{\lambda}\in\Lambda}C_{\lambda},\{p_{\lambda}\}_{{\lambda}\in\Lambda}\right)$ . The object  $\prod_{{\lambda}\in\Lambda}C_{\lambda}$  itself is often called the product of the family  $\{C_{\lambda}\}_{{\lambda}\in\Lambda}$ . The morphisms  $p_{\lambda}$  are called the projections of the product. Thus, the product possesses the following universal property. For each object C in C and each  $\Lambda$ -indexed family of morphisms  $f_{\lambda}:C\to C_{\lambda}$  in C there exists a unique morphism  $f:C\to\prod_{{\lambda}\in\Lambda}C_{\lambda}$  such that for each  $\mu\in\Lambda$  the diagram



is commutative. We say that a category has all products if every family of its objects indexed by a set has a product in this category.

Another basic limit is an equalizer, which is defined as follows. Let  $\mathcal{E}$  be a category with two objects, say A and B, with two morphisms  $u, v \colon A \to B$ , and with no other morphisms except for identities. Let  $f, g \colon C_1 \to C_2$  be morphisms of the category  $\mathcal{C}$ . We refer to pairs of morphisms like f and g as parallel morphisms. Consider the diagram  $\mathcal{D}$  in  $\mathcal{C}$  of shape  $\mathcal{E}$  such that  $\mathcal{D}(u) = f$  and  $\mathcal{D}(v) = g$ . A limit of this diagram  $\mathcal{D} \colon \mathcal{E} \to \mathcal{C}$  is called the equalizer of f and g. Thus, it is a pair (E, e), where E is an object of the category  $\mathcal{C}$  and  $e \colon E \to C_1$  is a morphism of  $\mathcal{C}$  such that  $f \circ e = g \circ e$  and the following universal property holds:



every morphism  $h: H \to C_1$  such that  $f \circ h = g \circ h$  can be factorized uniquely through e, that is, there exists a unique morphism  $d: H \to E$  such that  $e \circ d = h$ . In case each pair of parallel morphisms in a category C has an equalizer, we say that C has all equalizers.

The next result states that all limits can be built up from products and equalizers [17, Ch. V, Sect. 2, Cor. 2].

**Lemma 2.1.** A category is complete if and only if it has all products and equalizers.

Using the duality principle, one obtains the dual notions, namely, a cocone, a universal cocone, a colimit, a coproduct, a coequalizer, a cocomplete category and the dual of Lemma 2.1. For details, we refer the reader to [17, Ch. II, Sect. 1].

We denote by  $C^*$ -alg the category of all  $C^*$ -algebras and \*-homomorphisms between them. The trivial  $C^*$ -algebra consisting of single zero element is denoted by 0.

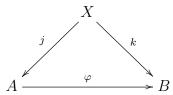
For a family  $\{A_{\lambda} \mid \lambda \in \Lambda\}$  of objects in  $C^*$ -alg indexed by a set  $\Lambda$ , we consider the direct product

$$\prod_{\lambda \in \Lambda} A_{\lambda} := \Big\{ (a_{\lambda}) \, \big| \, \|(a_{\lambda})\| = \sup_{\lambda} \|a_{\lambda}\| < +\infty \Big\},\,$$

which is a  $C^*$ -algebra with respect to the coordinatewise algebraic operations and the supremum norm.

Further, we give the definitions of categories from Loring's paper [5]. These categories are the main objects of investigation in the present article.

Given a set X, the null  $C^*$ -relation on X is the category  $\mathcal{F}_X$ , the objects of which are all functions of the form  $j: X \to A$ , where A is a  $C^*$ -algebra. For two objects  $j: X \to A$  and  $k: X \to B$  in  $\mathcal{F}_X$ , a morphism from j to k is each \*-homomorphism of  $C^*$ -algebras  $\varphi: A \to B$  making the diagram



commute, i.e.,  $k = \varphi \circ j$ .

A  $C^*$ -relation on X is a full subcategory  $\mathcal{R}$  of  $\mathcal{F}_X$  satisfying the following axioms:

- C1 the function  $X \to 0$  is an object of  $\mathcal{R}$ ;
- C2 if  $\varphi: A \to B$  is an injective \*-homomorphism of  $C^*$ -algebras,  $f: X \to A$  is a function and  $\varphi \circ f$  is an object of  $\mathcal{R}$ , then f is an object of  $\mathcal{R}$ ;
- C3 if  $\varphi: A \to B$  is a \*-homomorphism of  $C^*$ -algebras and  $f: X \to A$  is an object of  $\mathcal{R}$ , then  $\varphi \circ f$  is an object of  $\mathcal{R}$ ;
- **C4f** if  $f_i: X \to A_i$  is an object of  $\mathcal{R}$  for every  $i = 1, \ldots, n, n \in \mathbb{N}$ , then the function

$$\prod_{i=1}^{n} f_i : X \to \prod_{i=1}^{n} A_i$$

is an object of  $\mathcal{R}$ .

Objects of  $C^*$ -relations are also called the representations.

A  $C^*$ -relation  $\mathcal{R}$  on a set X is said to be *compact* if, in addition, the following condition is fulfilled:

C4 for each non-empty set  $\Lambda$ , if  $f_{\lambda}: X \to A_{\lambda}$  is an object of  $\mathcal{R}$  for every  $\lambda \in \Lambda$ , then the function

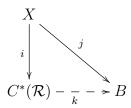
$$\prod_{\lambda \in \Lambda} f_{\lambda} : X \to \prod_{\lambda \in \Lambda} A_{\lambda}$$

is also an object of  $\mathcal{R}$ .

The following statement is a reformulation of Theorem 2.10 from [5] (see also [2, Prop. 1.3.6], [3, Sect. 3.1] and [4, Sect. 1.4]).

**Lemma 2.2.** Let  $\mathcal{R}$  be a  $C^*$ -relation on a set X. Then  $\mathcal{R}$  is compact if and only if there exists an initial object in  $\mathcal{R}$ .

In what follows, for a compact  $C^*$ -relation  $\mathcal{R}$  on a set X, we consider an initial object  $i: X \to A$  of  $\mathcal{R}$ . The  $C^*$ -algebra A is denoted by  $C^*(\mathcal{R})$ . Thus, for every representation  $j: X \to B$  of  $\mathcal{R}$  there exists a unique \*-homomorphism of  $C^*$ -algebras  $k: C^*(\mathcal{R}) \to B$  such that the diagram



is commutative, i.e.,  $j = k \circ i$ .

The object  $i: X \to C^*(\mathcal{R})$  is called the universal representation, and the  $C^*$ -algebra  $C^*(\mathcal{R})$  is called the universal  $C^*$ -algebra for the compact  $C^*$ -relation  $\mathcal{R}$ .

Finally, we give three examples of  $C^*$ -relations, which are denoted by  $\mathcal{R}_1$ ,  $\mathcal{R}_2$  and  $\mathcal{R}_3$ . Since every  $C^*$ -relation must be a full subcategory in the null  $C^*$ -relation  $\mathcal{F}_X$ , we specify only objects for these categories. One can easily verify that Axioms **C1**, **C2**, **C3** and **C4f** hold in  $\mathcal{R}_1$ ,  $\mathcal{R}_2$ , and  $\mathcal{R}_3$ , that is, these categories are  $C^*$ -relations.

**Example 2.1.** Let  $X = \{x\}$  be an one-element set. We consider the category  $\mathcal{R}_1$ , the objects of which are all functions  $f: X \to A$ , where A is a  $C^*$ -algebra, and f(x) is a normal element of A.

We claim that  $\mathcal{R}_1$  is not a compact  $C^*$ -relation. Indeed, to see this, we fix a  $C^*$ -algebra A and a non-zero normal element  $a \in A$ . For each  $n \in \mathbb{N}$ , we consider the object  $f_n$  of the category  $\mathcal{R}_1$  defined as

$$f_n: X \to A: x \mapsto na.$$

Since  $\sup_{n\in\mathbb{N}} ||f_n(x)|| = +\infty$ , Axiom **C4** is not valid for  $\mathcal{R}_1$ . That is, the C\*-relation  $\mathcal{R}_1$  is not compact, as claimed.

By Lemma 2.2, there is no initial object in the category  $\mathcal{R}_1$ , and the universal  $C^*$ -algebra for  $\mathcal{R}_1$  is not defined.

We note that the category  $\mathcal{R}_1$  is a \*-polynomial relation associated with the \*-polynomial pair  $(X, \{x^*x - xx^*\})$ . This fact also guarantees that  $\mathcal{R}_1$  is a  $C^*$ -relation [13, Prop. 2].

**Example 2.2.** Let  $X = \{x\}$ . As objects of the category  $\mathcal{R}_2$ , we take all functions of the form  $f: X \to A$ , where A is a unital  $C^*$ -algebra and f(x) is a unitary element in A. It is straightforward to verify that Axiom C4 is satisfied in the  $C^*$ -relation  $\mathcal{R}_2$ , hence, it is compact. By Lemma 2.2, there exist the universal representation in  $\mathcal{R}_2$  and the universal  $C^*$ -algebra  $C^*(\mathcal{R}_2)$ .

Using the continuous functional calculus, one can see that  $C^*(\mathcal{R}_2)$  is isomorphic to the commutative  $C^*$ -algebra  $C(S^1)$  consisting of all continuous complex-valued functions on the unit circle  $S^1$  in the complex plane.

**Example 2.3.** Let  $n \ge 2$  be an integer and  $X = \{x_1, \ldots, x_n\}$  be a set consisting of n elements. We define  $\mathcal{R}_3$  as the category, the objects of which are all functions of the form  $f: X \to A$ , where A is a unital  $C^*$ -algebra and  $f(x_1), \ldots, f(x_n)$  are isometries with pairwise orthogonal ranges. It is easy to see that Axiom C4 holds for the  $C^*$ -relation  $\mathcal{R}_3$ , that is,  $\mathcal{R}_3$  is compact.

Consequently, by Lemma 2.2, there is the universal representation  $i: X \to C^*(\mathcal{R}_3)$  in the category  $\mathcal{R}_3$ .

The universal  $C^*$ -algebra  $C^*(\mathcal{R}_3)$  is called the Toeplitz — Cuntz algebra for n generators. This algebra was defined and studied by Cuntz [14], [15]. In particular, it was shown that the Toeplitz — Cuntz algebra contains a closed two-sided ideal, which is isomorphic to the compact operators on an infinite-dimensional separable Hilbert space, and the quotient of  $C^*(\mathcal{R}_3)$  by this ideal is the Cuntz algebra [14]. In [11], [12], the universal property of  $C^*(\mathcal{R}_3)$  is used for constructing the direct sequences of the Toeplitz — Cuntz algebras and studying properties of reduced semigroup  $C^*$ -algebras.

## 3. Completeness of compact $C^*$ -relations

In this section we show that all compact  $C^*$ -relations are complete. Our proof is based on the fact that the category  $C^*$ -alg is complete [16]. More precisely, we explore explicit limit constructions in the category  $C^*$ -alg from [16]. Using completeness of compact  $C^*$ -relations

and Lemma 2.2, we obtain the criterion for the existence of universal  $C^*$ -algebras for  $C^*$ -relations.

**Lemma 3.1.** Every compact  $C^*$ -relation  $\mathcal{R}$  on a set X has all products.

*Proof.* Let  $\{f_{\lambda} \colon X \to A_{\lambda}\}_{{\lambda} \in \Lambda}$  be a family of objects of  $\mathcal{R}$  indexed by elements of a set  $\Lambda$ . Consider the function

$$\prod_{\lambda \in \Lambda} f_{\lambda} \colon X \to \prod_{\lambda \in \Lambda} A_{\lambda} \colon x \mapsto (f_{\lambda}(x))_{\lambda \in \Lambda}, \qquad x \in X.$$

By Axiom C4, it is an object of the category  $\mathcal{R}$ . For each  $\lambda \in \Lambda$ , we denote by  $p_{\lambda}$  the natural projection of the direct product of the  $C^*$ -algebras  $\prod_{\mu \in \Lambda} A_{\mu}$  onto the  $C^*$ -algebra  $A_{\lambda}$ . Obviously, the \*-homomorphism  $p_{\lambda}$  is a morphism of  $\mathcal{R}$ .

We claim that the pair

$$\left(\prod_{\lambda \in \Lambda} f_{\lambda}, \{p_{\lambda} : \prod_{\mu \in \Lambda} A_{\mu} \to A_{\lambda}\}_{\lambda \in \Lambda}\right)$$

is a product of this family in  $\mathcal{R}$ . Indeed, to show that this pair satisfies the universal property, we take an object  $f: X \to A$  and a family of morphisms  $\{g_{\lambda}: A \to A_{\lambda}\}_{{\lambda} \in \Lambda}$  in the category  $\mathcal{R}$  such that

$$g_{\lambda} \circ f = f_{\lambda} \quad \text{whenever} \quad \lambda \in \Lambda.$$
 (3.1)

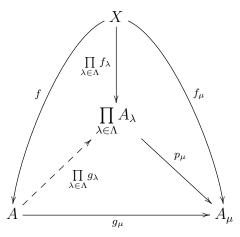
Since the pair  $\left(\prod_{\lambda \in \Lambda} A_{\lambda}, \{p_{\lambda}\}_{\lambda \in \Lambda}\right)$  is a product [16, Thm. 2.9] of the family  $\{A_{\lambda}\}_{\lambda \in \Lambda}$  in the category of  $C^*$ -algebras and their \*-homomorphisms, there is a unique \*-homomorphism

$$\prod_{\lambda \in \Lambda} g_{\lambda} \colon A \to \prod_{\lambda \in \Lambda} A_{\lambda} \colon a \mapsto (g_{\lambda}(a))_{\lambda \in \Lambda}$$

such that

$$p_{\mu} \circ \prod_{\lambda \in \Lambda} g_{\lambda} = g_{\mu} \tag{3.2}$$

for each index  $\mu \in \Lambda$ , that is, in the next diagram the bottom triangle is commutative:



Moreover, using (3.2), (3.1) and the commutativity of the triangle on the right–hand side of the diagram, we have the equalities

$$\left(p_{\mu} \circ \left(\prod_{\lambda \in \Lambda} g_{\lambda}\right) \circ f\right)(x) = (g_{\mu} \circ f)(x) = f_{\mu}(x) = \left(p_{\mu} \circ \prod_{\lambda \in \Lambda} f_{\lambda}\right)(x)$$

for every index  $\mu \in \Lambda$  and for every  $x \in X$ . Consequently, by the definition of an element of a product in category of  $C^*$ -algebras, the triangle on the left-hand side of the diagram is commutative:

$$\left(\prod_{\lambda \in \Lambda} g_{\lambda}\right) \circ f = \prod_{\lambda \in \Lambda} f_{\lambda},$$

that is, the \*-homomorphism  $\prod_{\lambda \in \Lambda} g_{\lambda}$  is a morphism of the  $C^*$ -relation  $\mathcal{R}$ .

Thus, the required universal property is satisfied and the pair  $\left(\prod_{\lambda\in\Lambda}f_{\lambda},\{p_{\lambda}\}_{\lambda\in\Lambda}\right)$  is a product in the category  $\mathcal{R}$ , as claimed. The proof is complete.

To prove the following statement we use the fact that the category  $C^*$ -alg has all equalizers [16, Lm. 2.5].

**Lemma 3.2.** Every compact  $C^*$ -relation  $\mathcal{R}$  on a set X has all equalizers.

*Proof.* We take two objects  $f: X \to A$  and  $g: X \to B$  and two parallel morphisms  $\varphi: A \to B$  and  $\psi: A \to B$  from f to g in the category  $\mathcal{R}$ .

Let us consider the  $C^*$ -algebra E and the \*-homomorphism  $\varepsilon$  of  $C^*$ -algebras defined as

$$E = \{a \in A \mid \varphi(a) = \psi(a)\}, \quad \varepsilon \colon E \to A \colon a \mapsto a, \quad a \in E.$$

It is clear that

$$E \xrightarrow{\varepsilon} A \xrightarrow{\varphi} B$$

is an equalizer diagram in the category of  $C^*$ -alg.

Further, we define a function  $e: X \to E$  such that the pair  $(e: X \to E, \varepsilon)$  is an equalizer of morphisms  $\varphi$  and  $\psi$  in the category  $\mathcal{R}$ . We show that this function is determined by the condition

$$\varepsilon \circ e = f. \tag{3.3}$$

Namely, we let

$$e(x) := f(x), \quad x \in X. \tag{3.4}$$

First of all, we need to verify that the function  $e: X \to E$  given by the rule (3.4) is well–defined, that is,

$$f(x) \in E$$
 whenever  $x \in X$ . (3.5)

Since  $\varphi$  and  $\psi$  are parallel morphisms from f to g in  $\mathcal{R}$ , we have

$$\varphi(f(x)) = g(x) = \psi(f(x)).$$

Hence, condition (3.5) holds, as required.

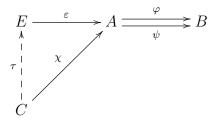
Since  $\varepsilon \colon E \to A$  is an injective \*-homomorphism and  $f \colon X \to A$  is an object of the category  $\mathcal{R}$ , by Axiom C2, it follows from the equality (3.3) that the function e is an object of  $\mathcal{R}$ . Moreover, the equality (3.3) implies that the \*-homomorphism  $\varepsilon$  is a morphism of  $\mathcal{R}$ .

We claim that the pair  $(e: X \to E, \varepsilon: E \to A)$  is an equalizer of the morphisms  $\varphi: A \to B$  and  $\psi: A \to B$  in  $\mathcal{R}$ . Indeed, firstly, we have the equality

$$\varphi \circ \varepsilon = \psi \circ \varepsilon$$
.

Secondly, we need to show that the pair  $(e, \varepsilon)$  possesses the universal property in the category  $\mathcal{R}$ . To this end, we take a pair  $(h: X \to C, \chi: C \to A)$  consisting of an object h in  $\mathcal{R}$  and a morphism  $\chi$  in  $\mathcal{R}$  from h to f such that  $\varphi \circ \chi = \psi \circ \chi$ . By the universal property of the

equalizer  $(E, \varepsilon)$  in the category  $C^*$ -alg, there exists a unique \*-homomorphism  $\tau \colon C \to E$  of  $C^*$ -algebras making the triangle



commute, that is,

$$\chi = \varepsilon \circ \tau. \tag{3.6}$$

Since the \*-homomorphism of  $C^*$ -algebras  $\chi$  is a morphism of the category  $\mathcal{R}$ , we have the equality

$$f = \chi \circ h. \tag{3.7}$$

Using the equalities (3.3), (3.7) and (3.6), we obtain

$$\varepsilon \circ e = f = \chi \circ h = \varepsilon \circ \tau \circ h. \tag{3.8}$$

Since the function  $\varepsilon$  is a monomorphism in the category of sets and functions, the equality (3.8) implies the equality  $e = \tau \circ h$ . The latter means that the \*-homomorphism  $\tau$  is a morphism in  $\mathcal{R}$  from h to e. Thus, the pair  $(e, \varepsilon)$  is an equalizer of parallel morphisms  $\varphi$  and  $\psi$  in  $\mathcal{R}$ , as claimed. The proof is complete.

Using Lemma 3.1, Lemma 3.2 and Lemma 2.1, we have

**Theorem 3.1.** Every compact  $C^*$ -relation is a complete category.

As an application of Theorem 3.1, we obtain the criterion for the existence of universal  $C^*$ -algebra.

**Theorem 3.2.** Let  $\mathcal{R}$  be a  $C^*$ -relation. Then the universal  $C^*$ -algebra  $C^*(\mathcal{R})$  exists if and only if the category  $\mathcal{R}$  complete.

*Proof.* By Lemma 2.2, the category  $\mathcal{R}$  has a universal representation  $i: X \to C^*(\mathcal{R})$  if and only if the  $C^*$ -relation  $\mathcal{R}$  is compact. By Theorem 3.1, every compact  $C^*$ -relation is complete. Conversely, if the  $C^*$ -relation  $\mathcal{R}$  is complete, then  $\mathcal{R}$  has all products and satisfies Axiom C4, as required. This completes the proof.

#### 4. Cocompleteness of compact $C^*$ -relations

In this section we show that every compact  $C^*$ -relation is cocomplete. In our proof we employ colimit constructions in the category  $C^*$ -alg (see [16]).

**Lemma 4.1.** Each compact  $C^*$ -relation  $\mathcal{R}$  on a set X has all coproducts.

*Proof.* Let  $\{f_{\lambda} : X \to A_{\lambda}\}_{{\lambda} \in \Lambda}$  be a family of objects in the category  $\mathcal{R}$  and the pair

$$\left( \coprod_{\lambda \in \Lambda} A_{\lambda}, \{i_{\lambda} \colon A_{\lambda} \to \coprod_{\mu \in \Lambda} A_{\mu}\}_{\lambda \in \Lambda} \right)$$

be a coproduct of the family  $\{A_{\lambda}\}_{{\lambda}\in\Lambda}$  of  $C^*$ -algebras in  $C^*$ -alg (see [16, Lm. 2.3]).

In the  $C^*$ -algebra  $\coprod_{\lambda \in \Lambda} A_{\lambda}$ , we consider the closed two-sided ideal I generated by the differences  $i_{\lambda}(f_{\lambda}(x)) - i_{\mu}(f_{\mu}(x))$ , where x runs over X and  $\lambda, \mu \in \Lambda$ :

$$I = \overline{\left\langle \left\{ i_{\lambda}(f_{\lambda}(x)) - i_{\mu}(f_{\mu}(x)) \mid x \in X, \ \lambda, \mu \in \Lambda \right\} \right\rangle}.$$

We denote by

$$p \colon \coprod_{\lambda \in \Lambda} A_{\lambda} \to \coprod_{\lambda \in \Lambda} A_{\lambda} / I$$

the canonical \*-homomorphism between the  $C^*$ -algebras.

By the construction of the ideal I, we have

$$p \circ i_{\lambda} \circ f_{\lambda} = p \circ i_{\mu} \circ f_{\mu}$$

whenever  $\lambda, \mu \in \Lambda$ . We let  $f = p \circ i_{\lambda} \circ f_{\lambda}$  for  $\lambda \in \Lambda$ . By Axiom C3, the function f is an object of the category  $\mathcal{R}$ . Hence, the \*-homomorphism  $p \circ i_{\lambda}$  is a morphism of  $\mathcal{R}$  for every  $\lambda \in \Lambda$ .

We claim that the pair

$$\left(f \colon X \to \coprod_{\lambda \in \Lambda} A_{\lambda} / I, \{p \circ i_{\lambda} \colon A_{\lambda} \to \coprod_{\mu \in \Lambda} A_{\mu} / I\}_{\lambda \in \Lambda}\right) \tag{4.1}$$

is a coproduct of the family  $\{f_{\lambda} \colon X \to A_{\lambda}\}_{{\lambda} \in \Lambda}$  in the category  $\mathcal{R}$ . Indeed, we need to verify that (4.1) satisfies the universal property.

To this end, we take a pair

$$(h: X \to C, \{g_{\lambda}: A_{\lambda} \to C\}_{{\lambda} \in \Lambda})$$

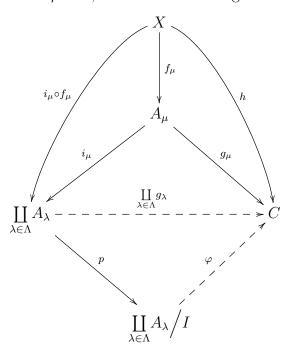
where h is an object of  $\mathcal{R}$  and  $g_{\lambda}$  is a morphism in  $\mathcal{R}$  from  $f_{\lambda}$  to h for every  $\lambda \in \Lambda$ .

Let us show that there is a unique \*-homomorphism

$$\varphi \colon \coprod_{\lambda \in \Lambda} A_{\lambda} / I \to C$$

such that  $\varphi \circ f = h$ , that is,  $\varphi$  is a morphism of  $\mathcal{R}$  from f to h, and  $g_{\lambda} = \varphi \circ (p \circ i_{\lambda})$  for every  $\lambda \in \Lambda$ .

To do this, for arbitrary index  $\mu \in \Lambda$ , we consider the diagram



Since  $\coprod_{\lambda \in \Lambda} A_{\lambda}$  is a coproduct in the category  $C^*$ -alg, there is a unique \*-homomorphism  $\coprod_{\lambda \in \Lambda} g_{\lambda}$  making the central triangle in the above diagram commute.

For all  $\mu, \nu \in \Lambda$ , we have

$$\left(\coprod_{\lambda \in \Lambda} g_{\lambda}\right) \circ (i_{\mu} \circ f_{\mu} - i_{\nu} \circ f_{\nu}) = \left(\left(\coprod_{\lambda \in \Lambda} g_{\lambda}\right) \circ i_{\mu} \circ f_{\mu}\right) - \left(\left(\coprod_{\lambda \in \Lambda} g_{\lambda}\right) \circ i_{\nu} \circ f_{\nu}\right)$$
$$= \left(g_{\mu} \circ f_{\mu}\right) - \left(g_{\nu} \circ f_{\nu}\right) = h - h = 0.$$

It follows that the kernel of  $\coprod_{\lambda \in \Lambda} g_{\lambda}$  contains the ideal I, and there is a unique \*-homomorphism

$$\varphi \colon \coprod_{\lambda \in \Lambda} A_{\lambda} / I \to C$$

such that the bottom triangle in the above diagram is commutative, that is,

$$\varphi \circ p = \coprod_{\lambda \in \Lambda} g_{\lambda}.$$

It is easy to see that  $\varphi \circ f = h$ . Therefore,  $\varphi$  is a morphism of  $\mathcal{R}$ . Moreover, we have

$$g_{\lambda} = \varphi \circ (p \circ i_{\lambda})$$
 for each  $\lambda \in \Lambda$ .

Thus, the required universal property is satisfied, and the pair (4.1) is a coproduct in the category  $\mathcal{R}$ , as claimed. The proof is complete.

In the proof of the following statement we use the explicit construction of a coequalizer in the category  $C^*$ -alg (see [16, Lm. 2.5]).

**Lemma 4.2.** Every compact  $C^*$ -relation  $\mathcal{R}$  on a set X has all coequalizers.

*Proof.* We take two objects  $f: X \to A$  and  $g: X \to B$  and two parallel morphisms  $\varphi: A \to B$  and  $\psi: A \to B$  from f to g in the category  $\mathcal{R}$ .

In the  $C^*$ -algebra B, we construct the closed two-sided ideal I generated by the differences  $\varphi(a) - \psi(a)$ , where a runs over A:

$$I = \overline{\langle \{ \varphi(a) - \psi(a) \mid a \in A \} \rangle}.$$

Let C=B/I and  $\pi\colon B\to C$  be the canonical surjection. It was shown in the proof of Lemma 2.5 in [16] that

$$A \xrightarrow{\varphi} B \xrightarrow{\pi} C$$

is a coequalizer diagram in the category  $C^*$ -alg.

To construct a coequalizer of the morphisms  $\varphi$  and  $\psi$  in the category  $\mathcal{R}$ , we use Axiom C3 and define the object  $c: X \to C$  of  $\mathcal{R}$  by

$$c := \pi \circ q, \tag{4.2}$$

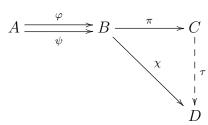
which guarantees that the \*-homomorphism  $\pi$  is a morphism of the category  $\mathcal R$  from g to c.

We claim that the pair  $(c: X \to C, \pi: B \to C)$  is a coequalizer of the morphisms  $\varphi: A \to B$  and  $\psi: A \to B$  in  $\mathcal{R}$ . Indeed, by the construction of the ideal I, we have the equality

$$\pi \circ \varphi = \pi \circ \psi.$$

We need to prove that the pair  $(c, \pi)$  has the universal property in the category  $\mathcal{R}$ . To this end, we take a pair  $(h: X \to D, \chi: B \to D)$  consisting of an object h in  $\mathcal{R}$  and a morphism  $\chi$  in  $\mathcal{R}$  from g to h such that  $\chi \circ \varphi = \chi \circ \psi$ . By the universal property of the coequalizer  $(C, \pi)$  in

the category  $C^*$ -alg, there exists a unique \*-homomorphism  $\tau \colon C \to D$  of  $C^*$ -algebras making the triangle in the diagram



commute, that is,

$$\chi = \tau \circ \pi. \tag{4.3}$$

It remains to show that the \*-homomorphism of  $C^*$ -algebras  $\tau$  is a morphism from c to h in the category  $\mathcal{R}$ . Because the \*-homomorphism of  $C^*$ -algebras  $\chi$  is a morphism of the category  $\mathcal{R}$ , we have

$$h = \chi \circ g. \tag{4.4}$$

By the equalities (4.4), (4.3) and (4.2), we get

$$h = \chi \circ g = \tau \circ \pi \circ g = \tau \circ c,$$

which means that  $\tau$  is a morphism from c to h in the category  $\mathcal{R}$ , as required. It follows that the pair  $(c, \pi)$  is a coequalizer of parallel morphisms  $\varphi$  and  $\psi$  in  $\mathcal{R}$ , as claimed. This completes the proof.

As an immediate consequence of Lemma 4.1, Lemma 4.2, Lemma 2.1 and the categorical duality principle [17, Ch. II, Sect. 1], we obtain the following theorem.

**Theorem 4.1.** Every compact  $C^*$ -relation is a cocomplete category.

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