

# CATEGORICAL CRITERION FOR EXISTENCE OF UNIVERSAL $C^*$ -ALGEBRAS

R.N. GUMEROV, E.V. LIPACHEVA, K.A. SHISHKIN

**Abstract.** We deal with categories, which determine universal  $C^*$ -algebras. These categories are called the compact  $C^*$ -relations. They were introduced by T.A. Loring. Given a set  $X$ , a compact  $C^*$ -relation on  $X$  is a category, the objects of which are functions from  $X$  to  $C^*$ -algebras, and morphisms are  $*$ -homomorphisms of  $C^*$ -algebras making the appropriate triangle diagrams commute. Moreover, these functions and  $*$ -homomorphisms satisfy certain axioms. In this article, we prove that every compact  $C^*$ -relation is both complete and cocomplete. As an application of the completeness of compact  $C^*$ -relations, we obtain the criterion for the existence of universal  $C^*$ -algebras.

**Keywords:** compact  $C^*$ -relation, complete category, universal  $C^*$ -algebra.

**Mathematics Subject Classification:** 16B50, 46L05, 46M15

## 1. INTRODUCTION

The motivation for our work comes from the theory of universal  $C^*$ -algebras generated by sets of generators subject to relations (see [1]– [6]) and the study of limits for inductive systems consisting of universal  $C^*$ -algebras and their  $*$ -homomorphisms in [7]– [12]. A categorical approach to relations that determine universal  $C^*$ -algebras was developed by Loring [5]. In the framework of this approach, one deals with categories called  $C^*$ -relations. Given a set  $X$ , a  $C^*$ -relation  $\mathcal{R}$  on  $X$  is a category, the objects of which are functions from  $X$  to  $C^*$ -algebras, and morphisms are  $*$ -homomorphisms of  $C^*$ -algebras making the appropriate triangle diagrams commute. In addition, the objects and the morphisms of  $\mathcal{R}$  satisfy certain axioms. The  $C^*$ -relations determining universal  $C^*$ -algebras are called compact. A necessary and sufficient condition for  $\mathcal{R}$  to be compact is the existence of an initial object  $C^*(\mathcal{R})$  in the category  $\mathcal{R}$  [5]. The universal  $C^*$ -algebra for the compact  $C^*$ -relation  $\mathcal{R}$  is the initial object  $C^*(\mathcal{R})$  of this category, that is, an object with precisely one outgoing morphism for each other object of  $\mathcal{R}$ .

The  $C^*$ -relations called the  $*$ -polynomial relations associated with  $*$ -polynomial pairs were studied in [13]. A polynomial pair  $(X, P)$  consists of a non-empty set  $X$  and a non-empty subset  $P$  of the free  $*$ -algebra  $F(X)$  generated by  $X$  over the field of complex numbers. The objects of the  $*$ -polynomial relation associated with  $(X, P)$  are all functions  $f$  from the set  $X$  to  $C^*$ -algebras satisfying the property: the set  $P$  is contained in the kernel of the unique  $*$ -homomorphism, which is an extension of  $f$  to the free  $*$ -algebra  $F(X)$ . It was proved in [13] that every  $C^*$ -algebra is a universal  $C^*$ -algebra determined by a  $*$ -polynomial relation and every compact  $C^*$ -relation is isomorphic to a  $*$ -polynomial relation.

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In this article we continue the study of properties of the compact  $C^*$ -relations initiated in [13]. We show that each compact  $C^*$ -relation is both complete and cocomplete. To obtain this result, we use of the completeness and cocompleteness of the category of  $C^*$ -algebras and their  $*$ -homomorphisms [16]. The completeness of every compact  $C^*$ -relation together with the aforementioned equivalence between the compactness of a  $C^*$ -relation  $\mathcal{R}$  and the existence of an initial object in  $\mathcal{R}$  yields the criterion for the existence of the universal  $C^*$ -algebra  $C^*(\mathcal{R})$ . Namely,  $C^*(\mathcal{R})$  exists if and only if the category  $\mathcal{R}$  is complete.

The article is organized as follows. It consists of the Introduction and three sections. Section 2 contains needed notation, definitions and facts from the category theory and the theory of  $C^*$ -relations. In Section 3 we prove that every compact  $C^*$ -relation is complete. As a consequence of this result, we obtain the criterion for the existence of universal  $C^*$ -algebras. Section 4 is devoted to the proof of the cocompleteness of all compact  $C^*$ -relations.

## 2. PRELIMINARIES

In this section, we recall some necessary definitions and facts from the theory of categories and functors. For detail we refer the reader to book [17].

Let  $\mathcal{C}$  be a category and  $\mathcal{I}$  be a small category. A functor  $\mathcal{D}: \mathcal{I} \rightarrow \mathcal{C}$  is called a *diagram in  $\mathcal{C}$  of shape  $\mathcal{I}$* .

A *cone on the diagram  $\mathcal{D}$*  is a pair  $(\mathcal{V}, \tau)$ , where  $\mathcal{V}: \mathcal{I} \rightarrow \mathcal{C}$  is a constant functor and  $\tau: \mathcal{V} \rightarrow \mathcal{D}$  is a natural transformation from  $\mathcal{V}$  to  $\mathcal{D}$ . Thus, the functor  $\mathcal{V}$  sends each object  $I$  of  $\mathcal{I}$  to a fixed object  $V$  in  $\mathcal{C}$  and  $\mathcal{V}(f)$  is the identity  $\mathbb{1}_V$  on  $V$  for each morphism  $f$  of  $\mathcal{I}$ . Moreover, one has a family of morphisms  $\tau_I: V \rightarrow \mathcal{D}(I)$  indexed by objects  $I$  of the category  $\mathcal{I}$  such that the diagram

$$\begin{array}{ccc}
 & V & \\
 \tau_I \swarrow & & \searrow \tau_J \\
 \mathcal{D}(I) & \xrightarrow{\mathcal{D}(f)} & \mathcal{D}(J)
 \end{array}$$

commutes for every morphism  $f: I \rightarrow J$  in  $\mathcal{I}$ .

A cone  $(\mathcal{V}, \tau)$  on the diagram  $\mathcal{D}$  is said to be *universal* if for every cone  $(\mathcal{V}', \tau')$  on  $\mathcal{D}$  there exists a unique morphism  $\varphi: V' \rightarrow V$  in  $\mathcal{C}$  such that  $\tau' = \tau \circ \varphi$ , that is, the diagram

$$\begin{array}{ccc}
 & V' & \\
 \tau'_I \swarrow & \downarrow \varphi & \searrow \tau'_J \\
 & V & \\
 \tau_I \swarrow & & \searrow \tau_J \\
 \mathcal{D}(I) & \xrightarrow{\mathcal{D}(f)} & \mathcal{D}(J)
 \end{array}$$

commutes for every morphism  $f: I \rightarrow J$  in  $\mathcal{I}$ . A universal cone on  $\mathcal{D}$  is called a *limit of the diagram  $\mathcal{D}$* . A category is said to be *complete* if it has a limit for every diagram in this category.

In what follows, two basic types of limits of diagrams are involved in our arguing. These are *products* and *equalizers*; let us recall the definitions.

Let  $\Lambda$  be a set. We denote by  $\mathcal{L}$  the discrete category, the objects of which are the elements of  $\Lambda$  and all morphisms are the identities. Let  $\{C_\lambda\}_{\lambda \in \Lambda}$  be a family of objects in the category  $\mathcal{C}$ .

Consider the diagram  $\mathcal{D}: \mathcal{L} \rightarrow \mathcal{C}$ , which sends an object  $\lambda$  of  $\mathcal{L}$  to the object  $C_\lambda$  in  $\mathcal{C}$ . A limit of the diagram  $\mathcal{D}$  is called *the product of the family*  $\{C_\lambda\}_{\lambda \in \Lambda}$ . It is denoted by  $\left(\prod_{\lambda \in \Lambda} C_\lambda, \{p_\lambda\}_{\lambda \in \Lambda}\right)$ . The object  $\prod_{\lambda \in \Lambda} C_\lambda$  itself is often called the product of the family  $\{C_\lambda\}_{\lambda \in \Lambda}$ . The morphisms  $p_\lambda$  are called *the projections* of the product. Thus, the product possesses the following *universal property*. For each object  $C$  in  $\mathcal{C}$  and each  $\Lambda$ -indexed family of morphisms  $f_\lambda : C \rightarrow C_\lambda$  in  $\mathcal{C}$  there exists a unique morphism  $f : C \rightarrow \prod_{\lambda \in \Lambda} C_\lambda$  such that for each  $\mu \in \Lambda$  the diagram

$$\begin{array}{ccc}
 C & & \\
 \downarrow f & \searrow f_\mu & \\
 \prod_{\lambda \in \Lambda} C_\lambda & \xrightarrow{p_\mu} & C_\mu
 \end{array}$$

is commutative. We say that a category *has all products* if every family of its objects indexed by a set has a product in this category.

Another basic limit is an equalizer, which is defined as follows. Let  $\mathcal{E}$  be a category with two objects, say  $A$  and  $B$ , with two morphisms  $u, v : A \rightarrow B$ , and with no other morphisms except for identities. Let  $f, g : C_1 \rightarrow C_2$  be morphisms of the category  $\mathcal{C}$ . We refer to pairs of morphisms like  $f$  and  $g$  as *parallel morphisms*. Consider the diagram  $\mathcal{D}$  in  $\mathcal{C}$  of shape  $\mathcal{E}$  such that  $\mathcal{D}(u) = f$  and  $\mathcal{D}(v) = g$ . A limit of this diagram  $\mathcal{D}: \mathcal{E} \rightarrow \mathcal{C}$  is called *the equalizer* of  $f$  and  $g$ . Thus, it is a pair  $(E, e)$ , where  $E$  is an object of the category  $\mathcal{C}$  and  $e : E \rightarrow C_1$  is a morphism of  $\mathcal{C}$  such that  $f \circ e = g \circ e$  and the following *universal property* holds:

$$\begin{array}{ccccc}
 E & \xrightarrow{e} & C_1 & \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} & C_2 \\
 \uparrow d & & \nearrow h & & \\
 H & & & & 
 \end{array}$$

every morphism  $h : H \rightarrow C_1$  such that  $f \circ h = g \circ h$  can be factorized uniquely through  $e$ , that is, there exists a unique morphism  $d : H \rightarrow E$  such that  $e \circ d = h$ . In case each pair of parallel morphisms in a category  $\mathcal{C}$  has an equalizer, we say that  $\mathcal{C}$  *has all equalizers*.

The next result states that all limits can be built up from products and equalizers [17, Ch. V, Sect. 2, Cor. 2].

**Lemma 2.1.** *A category is complete if and only if it has all products and equalizers.*

Using the duality principle, one obtains the dual notions, namely, a cocone, a universal cocone, a colimit, a coproduct, a coequalizer, a cocomplete category and the dual of Lemma 2.1. For details, we refer the reader to [17, Ch. II, Sect. 1].

We denote by  $C^*$ -**alg** the category of all  $C^*$ -algebras and  $*$ -homomorphisms between them. The trivial  $C^*$ -algebra consisting of single zero element is denoted by  $0$ .

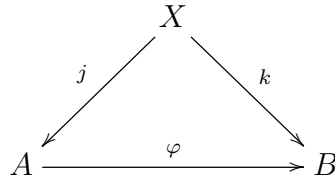
For a family  $\{A_\lambda \mid \lambda \in \Lambda\}$  of objects in  $C^*$ -**alg** indexed by a set  $\Lambda$ , we consider the direct product

$$\prod_{\lambda \in \Lambda} A_\lambda := \left\{ (a_\lambda) \mid \|(a_\lambda)\| = \sup_{\lambda} \|a_\lambda\| < +\infty \right\},$$

which is a  $C^*$ -algebra with respect to the coordinatewise algebraic operations and the supremum norm.

Further, we give the definitions of categories from Loring’s paper [5]. These categories are the main objects of investigation in the present article.

Given a set  $X$ , the null  $C^*$ -relation on  $X$  is the category  $\mathcal{F}_X$ , the objects of which are all functions of the form  $j : X \rightarrow A$ , where  $A$  is a  $C^*$ -algebra. For two objects  $j : X \rightarrow A$  and  $k : X \rightarrow B$  in  $\mathcal{F}_X$ , a morphism from  $j$  to  $k$  is each  $*$ -homomorphism of  $C^*$ -algebras  $\varphi : A \rightarrow B$  making the diagram



commute, i.e.,  $k = \varphi \circ j$ .

A  $C^*$ -relation on  $X$  is a full subcategory  $\mathcal{R}$  of  $\mathcal{F}_X$  satisfying the following axioms:

- C1** the function  $X \rightarrow 0$  is an object of  $\mathcal{R}$ ;
- C2** if  $\varphi : A \rightarrow B$  is an injective  $*$ -homomorphism of  $C^*$ -algebras,  $f : X \rightarrow A$  is a function and  $\varphi \circ f$  is an object of  $\mathcal{R}$ , then  $f$  is an object of  $\mathcal{R}$ ;
- C3** if  $\varphi : A \rightarrow B$  is a  $*$ -homomorphism of  $C^*$ -algebras and  $f : X \rightarrow A$  is an object of  $\mathcal{R}$ , then  $\varphi \circ f$  is an object of  $\mathcal{R}$ ;
- C4f** if  $f_i : X \rightarrow A_i$  is an object of  $\mathcal{R}$  for every  $i = 1, \dots, n$ ,  $n \in \mathbb{N}$ , then the function

$$\prod_{i=1}^n f_i : X \rightarrow \prod_{i=1}^n A_i$$

is an object of  $\mathcal{R}$ .

Objects of  $C^*$ -relations are also called the *representations*.

A  $C^*$ -relation  $\mathcal{R}$  on a set  $X$  is said to be *compact* if, in addition, the following condition is fulfilled:

- C4** for each non-empty set  $\Lambda$ , if  $f_\lambda : X \rightarrow A_\lambda$  is an object of  $\mathcal{R}$  for every  $\lambda \in \Lambda$ , then the function

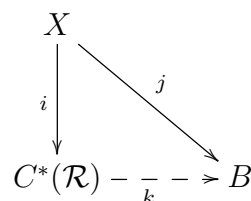
$$\prod_{\lambda \in \Lambda} f_\lambda : X \rightarrow \prod_{\lambda \in \Lambda} A_\lambda$$

is also an object of  $\mathcal{R}$ .

The following statement is a reformulation of Theorem 2.10 from [5] (see also [2, Prop. 1.3.6], [3, Sect. 3.1] and [4, Sect. 1.4]).

**Lemma 2.2.** *Let  $\mathcal{R}$  be a  $C^*$ -relation on a set  $X$ . Then  $\mathcal{R}$  is compact if and only if there exists an initial object in  $\mathcal{R}$ .*

In what follows, for a compact  $C^*$ -relation  $\mathcal{R}$  on a set  $X$ , we consider an initial object  $i : X \rightarrow A$  of  $\mathcal{R}$ . The  $C^*$ -algebra  $A$  is denoted by  $C^*(\mathcal{R})$ . Thus, for every representation  $j : X \rightarrow B$  of  $\mathcal{R}$  there exists a unique  $*$ -homomorphism of  $C^*$ -algebras  $k : C^*(\mathcal{R}) \rightarrow B$  such that the diagram



is commutative, i.e.,  $j = k \circ i$ .

The object  $i : X \rightarrow C^*(\mathcal{R})$  is called *the universal representation*, and the  $C^*$ -algebra  $C^*(\mathcal{R})$  is called *the universal  $C^*$ -algebra* for the compact  $C^*$ -relation  $\mathcal{R}$ .

Finally, we give three examples of  $C^*$ -relations, which are denoted by  $\mathcal{R}_1$ ,  $\mathcal{R}_2$  and  $\mathcal{R}_3$ . Since every  $C^*$ -relation must be a full subcategory in the null  $C^*$ -relation  $\mathcal{F}_X$ , we specify only objects for these categories. One can easily verify that Axioms **C1**, **C2**, **C3** and **C4f** hold in  $\mathcal{R}_1$ ,  $\mathcal{R}_2$ , and  $\mathcal{R}_3$ , that is, these categories are  $C^*$ -relations.

**Example 2.1.** Let  $X = \{x\}$  be an one-element set. We consider the category  $\mathcal{R}_1$ , the objects of which are all functions  $f : X \rightarrow A$ , where  $A$  is a  $C^*$ -algebra, and  $f(x)$  is a normal element of  $A$ .

We claim that  $\mathcal{R}_1$  is not a compact  $C^*$ -relation. Indeed, to see this, we fix a  $C^*$ -algebra  $A$  and a non-zero normal element  $a \in A$ . For each  $n \in \mathbb{N}$ , we consider the object  $f_n$  of the category  $\mathcal{R}_1$  defined as

$$f_n : X \rightarrow A : x \mapsto na.$$

Since  $\sup_{n \in \mathbb{N}} \|f_n(x)\| = +\infty$ , Axiom **C4** is not valid for  $\mathcal{R}_1$ . That is, the  $C^*$ -relation  $\mathcal{R}_1$  is not compact, as claimed.

By Lemma 2.2, there is no initial object in the category  $\mathcal{R}_1$ , and the universal  $C^*$ -algebra for  $\mathcal{R}_1$  is not defined.

We note that the category  $\mathcal{R}_1$  is a  $*$ -polynomial relation associated with the  $*$ -polynomial pair  $(X, \{x^*x - xx^*\})$ . This fact also guarantees that  $\mathcal{R}_1$  is a  $C^*$ -relation [13, Prop. 2].

**Example 2.2.** Let  $X = \{x\}$ . As objects of the category  $\mathcal{R}_2$ , we take all functions of the form  $f : X \rightarrow A$ , where  $A$  is a unital  $C^*$ -algebra and  $f(x)$  is a unitary element in  $A$ . It is straightforward to verify that Axiom **C4** is satisfied in the  $C^*$ -relation  $\mathcal{R}_2$ , hence, it is compact.

By Lemma 2.2, there exist the universal representation in  $\mathcal{R}_2$  and the universal  $C^*$ -algebra  $C^*(\mathcal{R}_2)$ .

Using the continuous functional calculus, one can see that  $C^*(\mathcal{R}_2)$  is isomorphic to the commutative  $C^*$ -algebra  $C(S^1)$  consisting of all continuous complex-valued functions on the unit circle  $S^1$  in the complex plane.

**Example 2.3.** Let  $n \geq 2$  be an integer and  $X = \{x_1, \dots, x_n\}$  be a set consisting of  $n$  elements. We define  $\mathcal{R}_3$  as the category, the objects of which are all functions of the form  $f : X \rightarrow A$ , where  $A$  is a unital  $C^*$ -algebra and  $f(x_1), \dots, f(x_n)$  are isometries with pairwise orthogonal ranges. It is easy to see that Axiom **C4** holds for the  $C^*$ -relation  $\mathcal{R}_3$ , that is,  $\mathcal{R}_3$  is compact.

Consequently, by Lemma 2.2, there is the universal representation  $i : X \rightarrow C^*(\mathcal{R}_3)$  in the category  $\mathcal{R}_3$ .

The universal  $C^*$ -algebra  $C^*(\mathcal{R}_3)$  is called *the Toeplitz – Cuntz algebra* for  $n$  generators. This algebra was defined and studied by Cuntz [14], [15]. In particular, it was shown that the Toeplitz – Cuntz algebra contains a closed two-sided ideal, which is isomorphic to the compact operators on an infinite-dimensional separable Hilbert space, and the quotient of  $C^*(\mathcal{R}_3)$  by this ideal is the Cuntz algebra [14]. In [11], [12], the universal property of  $C^*(\mathcal{R}_3)$  is used for constructing the direct sequences of the Toeplitz – Cuntz algebras and studying properties of reduced semigroup  $C^*$ -algebras.

### 3. COMPLETENESS OF COMPACT $C^*$ -RELATIONS

In this section we show that all compact  $C^*$ -relations are complete. Our proof is based on the fact that the category  $C^*\text{-alg}$  is complete [16]. More precisely, we explore explicit limit constructions in the category  $C^*\text{-alg}$  from [16]. Using completeness of compact  $C^*$ -relations

and Lemma 2.2, we obtain the criterion for the existence of universal  $C^*$ -algebras for  $C^*$ -relations.

**Lemma 3.1.** *Every compact  $C^*$ -relation  $\mathcal{R}$  on a set  $X$  has all products.*

*Доказательство.* Let  $\{f_\lambda: X \rightarrow A_\lambda\}_{\lambda \in \Lambda}$  be a family of objects of  $\mathcal{R}$  indexed by elements of a set  $\Lambda$ . Consider the function

$$\prod_{\lambda \in \Lambda} f_\lambda: X \rightarrow \prod_{\lambda \in \Lambda} A_\lambda: x \mapsto (f_\lambda(x))_{\lambda \in \Lambda}, \quad x \in X.$$

By Axiom C4, it is an object of the category  $\mathcal{R}$ . For each  $\lambda \in \Lambda$ , we denote by  $p_\lambda$  the natural projection of the direct product of the  $C^*$ -algebras  $\prod_{\mu \in \Lambda} A_\mu$  onto the  $C^*$ -algebra  $A_\lambda$ . Obviously, the  $*$ -homomorphism  $p_\lambda$  is a morphism of  $\mathcal{R}$ .

We claim that the pair

$$\left( \prod_{\lambda \in \Lambda} f_\lambda, \{p_\lambda: \prod_{\mu \in \Lambda} A_\mu \rightarrow A_\lambda\}_{\lambda \in \Lambda} \right)$$

is a product of this family in  $\mathcal{R}$ . Indeed, to show that this pair satisfies the universal property, we take an object  $f: X \rightarrow A$  and a family of morphisms  $\{g_\lambda: A \rightarrow A_\lambda\}_{\lambda \in \Lambda}$  in the category  $\mathcal{R}$  such that

$$g_\lambda \circ f = f_\lambda \quad \text{whenever } \lambda \in \Lambda. \quad (3.1)$$

Since the pair  $\left( \prod_{\lambda \in \Lambda} A_\lambda, \{p_\lambda\}_{\lambda \in \Lambda} \right)$  is a product [16, Thm. 2.9] of the family  $\{A_\lambda\}_{\lambda \in \Lambda}$  in the category of  $C^*$ -algebras and their  $*$ -homomorphisms, there is a unique  $*$ -homomorphism

$$\prod_{\lambda \in \Lambda} g_\lambda: A \rightarrow \prod_{\lambda \in \Lambda} A_\lambda: a \mapsto (g_\lambda(a))_{\lambda \in \Lambda}$$

such that

$$p_\mu \circ \prod_{\lambda \in \Lambda} g_\lambda = g_\mu \quad (3.2)$$

for each index  $\mu \in \Lambda$ , that is, in the next diagram the bottom triangle is commutative:

$$\begin{array}{ccc}
 & X & \\
 & \downarrow \prod_{\lambda \in \Lambda} f_\lambda & \\
 & \prod_{\lambda \in \Lambda} A_\lambda & \\
 \begin{array}{c} \nearrow f \\ \dashrightarrow \prod_{\lambda \in \Lambda} g_\lambda \end{array} & & \begin{array}{c} \searrow f_\mu \\ \nearrow p_\mu \end{array} \\
 A & \xrightarrow{g_\mu} & A_\mu
 \end{array}$$

Moreover, using (3.2), (3.1) and the commutativity of the triangle on the right-hand side of the diagram, we have the equalities

$$\left( p_\mu \circ \left( \prod_{\lambda \in \Lambda} g_\lambda \right) \circ f \right)(x) = (g_\mu \circ f)(x) = f_\mu(x) = \left( p_\mu \circ \prod_{\lambda \in \Lambda} f_\lambda \right)(x)$$

for every index  $\mu \in \Lambda$  and for every  $x \in X$ . Consequently, by the definition of an element of a product in category of  $C^*$ -algebras, the triangle on the left-hand side of the diagram is commutative:

$$\left( \prod_{\lambda \in \Lambda} g_\lambda \right) \circ f = \prod_{\lambda \in \Lambda} f_\lambda,$$

that is, the  $*$ -homomorphism  $\prod_{\lambda \in \Lambda} g_\lambda$  is a morphism of the  $C^*$ -relation  $\mathcal{R}$ .

Thus, the required universal property is satisfied and the pair  $\left( \prod_{\lambda \in \Lambda} f_\lambda, \{p_\lambda\}_{\lambda \in \Lambda} \right)$  is a product in the category  $\mathcal{R}$ , as claimed. The proof is complete.  $\square$

To prove the following statement we use the fact that the category  $C^*$ -**alg** has all equalizers [16, Lm. 2.5].

**Lemma 3.2.** *Every compact  $C^*$ -relation  $\mathcal{R}$  on a set  $X$  has all equalizers.*

*Доказательство.* We take two objects  $f: X \rightarrow A$  and  $g: X \rightarrow B$  and two parallel morphisms  $\varphi: A \rightarrow B$  and  $\psi: A \rightarrow B$  from  $f$  to  $g$  in the category  $\mathcal{R}$ .

Let us consider the  $C^*$ -algebra  $E$  and the  $*$ -homomorphism  $\varepsilon$  of  $C^*$ -algebras defined as

$$E = \{a \in A \mid \varphi(a) = \psi(a)\}, \quad \varepsilon: E \rightarrow A: a \mapsto a, \quad a \in E.$$

It is clear that

$$E \xrightarrow{\varepsilon} A \begin{array}{c} \xrightarrow{\varphi} \\ \xrightarrow{\psi} \end{array} B$$

is an equalizer diagram in the category of  $C^*$ -**alg**.

Further, we define a function  $e: X \rightarrow E$  such that the pair  $(e: X \rightarrow E, \varepsilon)$  is an equalizer of morphisms  $\varphi$  and  $\psi$  in the category  $\mathcal{R}$ . We show that this function is determined by the condition

$$\varepsilon \circ e = f. \tag{3.3}$$

Namely, we let

$$e(x) := f(x), \quad x \in X. \tag{3.4}$$

First of all, we need to verify that the function  $e: X \rightarrow E$  given by the rule (3.4) is well-defined, that is,

$$f(x) \in E \quad \text{whenever} \quad x \in X. \tag{3.5}$$

Since  $\varphi$  and  $\psi$  are parallel morphisms from  $f$  to  $g$  in  $\mathcal{R}$ , we have

$$\varphi(f(x)) = g(x) = \psi(f(x)).$$

Hence, condition (3.5) holds, as required.

Since  $\varepsilon: E \rightarrow A$  is an injective  $*$ -homomorphism and  $f: X \rightarrow A$  is an object of the category  $\mathcal{R}$ , by Axiom **C2**, it follows from the equality (3.3) that the function  $e$  is an object of  $\mathcal{R}$ . Moreover, the equality (3.3) implies that the  $*$ -homomorphism  $\varepsilon$  is a morphism of  $\mathcal{R}$ .

We claim that the pair  $(e: X \rightarrow E, \varepsilon: E \rightarrow A)$  is an equalizer of the morphisms  $\varphi: A \rightarrow B$  and  $\psi: A \rightarrow B$  in  $\mathcal{R}$ . Indeed, firstly, we have the equality

$$\varphi \circ \varepsilon = \psi \circ \varepsilon.$$

Secondly, we need to show that the pair  $(e, \varepsilon)$  possesses the universal property in the category  $\mathcal{R}$ . To this end, we take a pair  $(h: X \rightarrow C, \chi: C \rightarrow A)$  consisting of an object  $h$  in  $\mathcal{R}$  and a morphism  $\chi$  in  $\mathcal{R}$  from  $h$  to  $f$  such that  $\varphi \circ \chi = \psi \circ \chi$ . By the universal property of the

equalizer  $(E, \varepsilon)$  in the category  $C^*\text{-alg}$ , there exists a unique  $*$ -homomorphism  $\tau: C \rightarrow E$  of  $C^*$ -algebras making the triangle

$$\begin{array}{ccccc}
 E & \xrightarrow{\varepsilon} & A & \begin{array}{c} \xrightarrow{\varphi} \\ \xrightarrow{\psi} \end{array} & B \\
 \uparrow & & \nearrow \chi & & \\
 \vdots & & & & \\
 \vdots & & & & \\
 \vdots & & & & \\
 C & & & & 
 \end{array}$$

commute, that is,

$$\chi = \varepsilon \circ \tau. \quad (3.6)$$

Since the  $*$ -homomorphism of  $C^*$ -algebras  $\chi$  is a morphism of the category  $\mathcal{R}$ , we have the equality

$$f = \chi \circ h. \quad (3.7)$$

Using the equalities (3.3), (3.7) and (3.6), we obtain

$$\varepsilon \circ e = f = \chi \circ h = \varepsilon \circ \tau \circ h. \quad (3.8)$$

Since the function  $\varepsilon$  is a monomorphism in the category of sets and functions, the equality (3.8) implies the equality  $e = \tau \circ h$ . The latter means that the  $*$ -homomorphism  $\tau$  is a morphism in  $\mathcal{R}$  from  $h$  to  $e$ . Thus, the pair  $(e, \varepsilon)$  is an equalizer of parallel morphisms  $\varphi$  and  $\psi$  in  $\mathcal{R}$ , as claimed. The proof is complete.  $\square$

Using Lemma 3.1, Lemma 3.2 and Lemma 2.1, we have

**Theorem 3.1.** *Every compact  $C^*$ -relation is a complete category.*

As an application of Theorem 3.1, we obtain the criterion for the existence of universal  $C^*$ -algebra.

**Theorem 3.2.** *Let  $\mathcal{R}$  be a  $C^*$ -relation. Then the universal  $C^*$ -algebra  $C^*(\mathcal{R})$  exists if and only if the category  $\mathcal{R}$  complete.*

*Доказательство.* By Lemma 2.2, the category  $\mathcal{R}$  has a universal representation  $i: X \rightarrow C^*(\mathcal{R})$  if and only if the  $C^*$ -relation  $\mathcal{R}$  is compact. By Theorem 3.1, every compact  $C^*$ -relation is complete. Conversely, if the  $C^*$ -relation  $\mathcal{R}$  is complete, then  $\mathcal{R}$  has all products and satisfies Axiom C4, as required. This completes the proof.  $\square$

#### 4. COCOMPLETENESS OF COMPACT $C^*$ -RELATIONS

In this section we show that every compact  $C^*$ -relation is cocomplete. In our proof we employ colimit constructions in the category  $C^*\text{-alg}$  (see [16]).

**Lemma 4.1.** *Each compact  $C^*$ -relation  $\mathcal{R}$  on a set  $X$  has all coproducts.*

*Доказательство.* Let  $\{f_\lambda: X \rightarrow A_\lambda\}_{\lambda \in \Lambda}$  be a family of objects in the category  $\mathcal{R}$  and the pair

$$\left( \coprod_{\lambda \in \Lambda} A_\lambda, \{i_\lambda: A_\lambda \rightarrow \coprod_{\mu \in \Lambda} A_\mu\}_{\lambda \in \Lambda} \right)$$

be a coproduct of the family  $\{A_\lambda\}_{\lambda \in \Lambda}$  of  $C^*$ -algebras in  $C^*\text{-alg}$  (see [16, Lm. 2.3]).



In the  $C^*$ -algebra  $\prod_{\lambda \in \Lambda} A_\lambda$ , we consider the closed two-sided ideal  $I$  generated by the differences  $i_\lambda(f_\lambda(x)) - i_\mu(f_\mu(x))$ , where  $x$  runs over  $X$  and  $\lambda, \mu \in \Lambda$ :

$$I = \overline{\langle \{i_\lambda(f_\lambda(x)) - i_\mu(f_\mu(x)) \mid x \in X, \lambda, \mu \in \Lambda\} \rangle}.$$

We denote by

$$p: \prod_{\lambda \in \Lambda} A_\lambda \rightarrow \prod_{\lambda \in \Lambda} A_\lambda / I$$

the canonical  $*$ -homomorphism between the  $C^*$ -algebras.

By the construction of the ideal  $I$ , we have

$$p \circ i_\lambda \circ f_\lambda = p \circ i_\mu \circ f_\mu$$

whenever  $\lambda, \mu \in \Lambda$ . We let  $f = p \circ i_\lambda \circ f_\lambda$  for  $\lambda \in \Lambda$ . By Axiom **C3**, the function  $f$  is an object of the category  $\mathcal{R}$ . Hence, the  $*$ -homomorphism  $p \circ i_\lambda$  is a morphism of  $\mathcal{R}$  for every  $\lambda \in \Lambda$ .

We claim that the pair

$$\left( f: X \rightarrow \prod_{\lambda \in \Lambda} A_\lambda / I, \{p \circ i_\lambda: A_\lambda \rightarrow \prod_{\mu \in \Lambda} A_\mu / I\}_{\lambda \in \Lambda} \right) \quad (4.1)$$

is a coproduct of the family  $\{f_\lambda: X \rightarrow A_\lambda\}_{\lambda \in \Lambda}$  in the category  $\mathcal{R}$ . Indeed, we need to verify that (4.1) satisfies the universal property.

To this end, we take a pair

$$(h: X \rightarrow C, \{g_\lambda: A_\lambda \rightarrow C\}_{\lambda \in \Lambda})$$

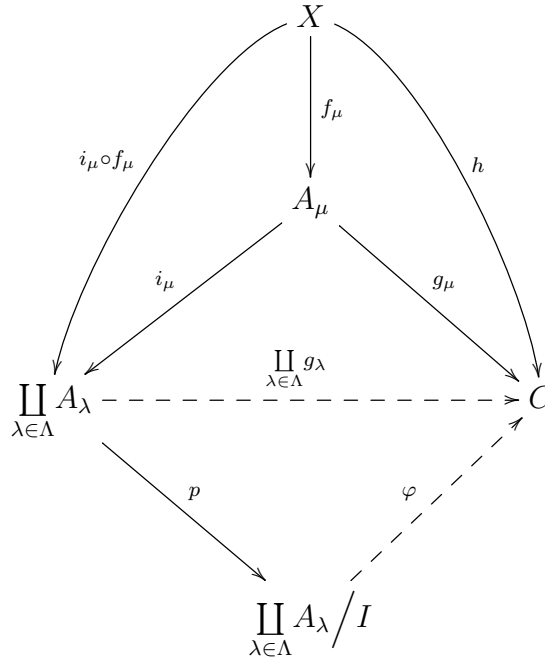
where  $h$  is an object of  $\mathcal{R}$  and  $g_\lambda$  is a morphism in  $\mathcal{R}$  from  $f_\lambda$  to  $h$  for every  $\lambda \in \Lambda$ .

Let us show that there is a unique  $*$ -homomorphism

$$\varphi: \prod_{\lambda \in \Lambda} A_\lambda / I \rightarrow C$$

such that  $\varphi \circ f = h$ , that is,  $\varphi$  is a morphism of  $\mathcal{R}$  from  $f$  to  $h$ , and  $g_\lambda = \varphi \circ (p \circ i_\lambda)$  for every  $\lambda \in \Lambda$ .

To do this, for arbitrary index  $\mu \in \Lambda$ , we consider the diagram



Since  $\coprod_{\lambda \in \Lambda} A_\lambda$  is a coproduct in the category  $C^*\text{-alg}$ , there is a unique  $*$ -homomorphism  $\coprod_{\lambda \in \Lambda} g_\lambda$  making the central triangle in the above diagram commute.

For all  $\mu, \nu \in \Lambda$ , we have

$$\begin{aligned} \left(\coprod_{\lambda \in \Lambda} g_\lambda\right) \circ (i_\mu \circ f_\mu - i_\nu \circ f_\nu) &= \left(\left(\coprod_{\lambda \in \Lambda} g_\lambda\right) \circ i_\mu \circ f_\mu\right) - \left(\left(\coprod_{\lambda \in \Lambda} g_\lambda\right) \circ i_\nu \circ f_\nu\right) \\ &= (g_\mu \circ f_\mu) - (g_\nu \circ f_\nu) = h - h = 0. \end{aligned}$$

It follows that the kernel of  $\coprod_{\lambda \in \Lambda} g_\lambda$  contains the ideal  $I$ , and there is a unique  $*$ -homomorphism

$$\varphi: \coprod_{\lambda \in \Lambda} A_\lambda / I \rightarrow C$$

such that the bottom triangle in the above diagram is commutative, that is,

$$\varphi \circ p = \coprod_{\lambda \in \Lambda} g_\lambda.$$

It is easy to see that  $\varphi \circ f = h$ . Therefore,  $\varphi$  is a morphism of  $\mathcal{R}$ . Moreover, we have

$$g_\lambda = \varphi \circ (p \circ i_\lambda) \quad \text{for each } \lambda \in \Lambda.$$

Thus, the required universal property is satisfied, and the pair (4.1) is a coproduct in the category  $\mathcal{R}$ , as claimed. The proof is complete.  $\square$

In the proof of the following statement we use the explicit construction of a coequalizer in the category  $C^*\text{-alg}$  (see [16, Lm. 2.5]).

**Lemma 4.2.** *Every compact  $C^*$ -relation  $\mathcal{R}$  on a set  $X$  has all coequalizers.*

*Доказательство.* We take two objects  $f: X \rightarrow A$  and  $g: X \rightarrow B$  and two parallel morphisms  $\varphi: A \rightarrow B$  and  $\psi: A \rightarrow B$  from  $f$  to  $g$  in the category  $\mathcal{R}$ .

In the  $C^*$ -algebra  $B$ , we construct the closed two-sided ideal  $I$  generated by the differences  $\varphi(a) - \psi(a)$ , where  $a$  runs over  $A$ :

$$I = \overline{\langle \{\varphi(a) - \psi(a) \mid a \in A\} \rangle}.$$

Let  $C = B/I$  and  $\pi: B \rightarrow C$  be the canonical surjection. It was shown in the proof of Lemma 2.5 in [16] that

$$A \begin{array}{c} \xrightarrow{\varphi} \\ \xrightarrow{\psi} \end{array} B \xrightarrow{\pi} C$$

is a coequalizer diagram in the category  $C^*\text{-alg}$ .

To construct a coequalizer of the morphisms  $\varphi$  and  $\psi$  in the category  $\mathcal{R}$ , we use Axiom **C3** and define the object  $c: X \rightarrow C$  of  $\mathcal{R}$  by

$$c := \pi \circ g, \tag{4.2}$$

which guarantees that the  $*$ -homomorphism  $\pi$  is a morphism of the category  $\mathcal{R}$  from  $g$  to  $c$ .

We claim that the pair  $(c: X \rightarrow C, \pi: B \rightarrow C)$  is a coequalizer of the morphisms  $\varphi: A \rightarrow B$  and  $\psi: A \rightarrow B$  in  $\mathcal{R}$ . Indeed, by the construction of the ideal  $I$ , we have the equality

$$\pi \circ \varphi = \pi \circ \psi.$$

We need to prove that the pair  $(c, \pi)$  has the universal property in the category  $\mathcal{R}$ . To this end, we take a pair  $(h: X \rightarrow D, \chi: B \rightarrow D)$  consisting of an object  $h$  in  $\mathcal{R}$  and a morphism  $\chi$  in  $\mathcal{R}$  from  $g$  to  $h$  such that  $\chi \circ \varphi = \chi \circ \psi$ . By the universal property of the coequalizer  $(C, \pi)$  in the

category  $C^*\text{-alg}$ , there exists a unique  $*$ -homomorphism  $\tau: C \rightarrow D$  of  $C^*$ -algebras making the triangle in the diagram

$$\begin{array}{ccccc} A & \xrightarrow{\varphi} & B & \xrightarrow{\pi} & C \\ & \searrow \psi & & & \vdots \\ & & & \searrow \chi & \vdots \\ & & & & D \end{array}$$

commute, that is,

$$\chi = \tau \circ \pi. \quad (4.3)$$

It remains to show that the  $*$ -homomorphism of  $C^*$ -algebras  $\tau$  is a morphism from  $c$  to  $h$  in the category  $\mathcal{R}$ . Because the  $*$ -homomorphism of  $C^*$ -algebras  $\chi$  is a morphism of the category  $\mathcal{R}$ , we have

$$h = \chi \circ g. \quad (4.4)$$

By the equalities (4.4), (4.3) and (4.2), we get

$$h = \chi \circ g = \tau \circ \pi \circ g = \tau \circ c,$$

which means that  $\tau$  is a morphism from  $c$  to  $h$  in the category  $\mathcal{R}$ , as required. It follows that the pair  $(c, \pi)$  is a coequalizer of parallel morphisms  $\varphi$  and  $\psi$  in  $\mathcal{R}$ , as claimed. This completes the proof.  $\square$

As an immediate consequence of Lemma 4.1, Lemma 4.2, Lemma 2.1 and the categorical duality principle [17, Ch. II, Sect. 1], we obtain the following theorem.

**Theorem 4.1.** *Every compact  $C^*$ -relation is a cocomplete category.*

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